

# ***s-d* coupling in zinc-blende semiconductors**

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Most zinc blende semiconductors have a single anion-like  $s$  state near the bottom of the valence band, found in density-of-states (DOS) calculations, and seen in photoemission. Here, we discuss the case where *two*  $s$ -like peaks appear, due to strong  $s$ - $d$  coupling. Indeed, away from the  $\mathbf{k}=\mathbf{0}$  Brillouin zone center, cation  $d$  states and anion  $s$  states can couple in zinc blende symmetry. Depending on the energy difference  $\Delta E_{sd} = E_s^{\text{anion}} - E_d^{\text{cation}}$ , this interaction can lead to either a single or two  $s$ -like peaks in the DOS and photoemission. We find four types of behaviors. (i) In GaP, GaAs, InP, and InAs,  $\Delta E_{sd}$  is large, giving rise to a single cation  $d$  peak well *below* the single anion  $s$  peak. (ii) Similarly, in CdS, CdSe, ZnS, ZnSe, and ZnTe, we see also a single  $s$  peak, but now the cation  $d$  is *above* the anion  $s$ . In both (i) and (ii) the  $s$ - $d$  coupling is very weak. (iii) In GaN and InN, the local density approximation (LDA) predicts *two*  $s$ -like peaks bracketing below and above the cation  $d$ -like state. Correcting the too low binding energies of LDA by LDA+SIC (self-interaction correction) still leaves the two  $s$ -like peaks. The occurrence of two  $s$ -like peaks represents the fingerprint of strong  $s$ - $d$  coupling. (iv) In CdTe, LDA predicts a single  $s$ -like peak just as in case (ii) above. However, LDA+SIC correction shifts down the cation  $d$  state closer to the anion  $s$  band, enhancing the  $s$ - $d$  coupling, and leading to the appearance of *two*  $s$ -like peaks. Case (iv) is a remarkable situation where LDA errors cause not only quantitative energetic errors, but actually leads to a qualitative effect of a DOS peak that exists in LDA+SIC but is missing in LDA. We predict that the double- $s$  peak should be observed in photoemission for GaN, InN, and CdTe.

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Binary zinc blende semiconductors have a single anion



account final state effects (as in Refs. 22 and 23). Since the LDA treatment shows that in CdTe the anion- $s$  to cation- $d$  splitting is small (2.9 eV at  $\Gamma$ , compared with 4.5, 4.7, 6.5, 6.6, and 4.8 eV in CdS, CdSe, ZnS, ZnSe, and ZnTe, respectively) we also applied LDA+U<sup>SIC</sup> to CdTe. We apply a similar treatment for CdTe, where the Cd 4*d* band is shifted to the observed  $E_v - 10.5$  eV value,<sup>24,25</sup> whereas the Te 5*s* state has according to GW Refs. 2 and 26 a negligible shift. The resulting DOS of LDA+U<sup>SIC</sup> is shown in the upper panel of Fig. 3 for GaN and CdTe. We see the following.

(a) *s-like and d-like states in GaN*. The double  $s$  peak seen in the LDA results for GaN *remains* when the self-interaction corrections of Ga 3*d* and of N 2*s* are included (Fig. 3). This is different than what was predicted by Lambrecht *et al.*<sup>7</sup> who got a single  $s$  peak by shifting only the Ga 3*d* LDA band, although they suggested that also a downward shift of the N 2*s* band is necessary. The main reason for the double  $s$  peak is the broad N 2*s* band which easily can be divided into

is much deeper than that of P, As, and Sb, and so the  $\Delta E_{sd}$  is smaller in nitrides leading to a bigger  $s$ - $d$  coupling.

In summary, we find four types of behaviors in the DOS of zinc blende structures. (i) In GaP, GaAs, InP, and InAs, there is a single  $s$  peak well above the cation  $d$  band and  $\Delta E_{sd}$  is large. (ii) In CdS, CdSe, ZnS, ZnSe, and ZnTe, we see also a single  $s$  peak, but now the cation  $d$  is above the anion  $s$ . In both (i) and (ii) the  $s$ - $d$  coupling is very weak.

(iii) In GaN and InN, LDA predicts two  $s$ -like peaks which remain when the SIC is included. (iv) In CdTe, LDA predicts a single  $s$ -like peak, whereas LDA+ $U^{\text{SIC}}$  yields two  $s$ -like peaks. We predict that the double- $s$  peak should be observed in photoemission also for GaN and InN.

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